



3790 USA/DISPLAY/AKT/RK  
7828.7017

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of	)	Examiner: MacArthur, S.
Kurita, et al.	)	
Serial No.: 09/464,362	)	Art Unit: 1763
Filed: December 15, 1999	)	
For: DUAL SUBSTRATE LOADLOCK	)	
PROCESS EQUIPMENT	)	

---

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**

Mail Stop RCE  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sirs:

In accordance with 37 CFR §§ 1.56, 1.97, and 1.98, enclosed please find Form PTO-1449 listing the attached references which might be deemed material to the examination of the above-identified application.

A. Non-English Language References

- ☐ Enclosed is an foreign office action for a counterpart application. The search report Examiner has provided comments on the relevancy of any non-English language references cited in the office action.
- ☐ The specification incorporates comments on the relevancy of Non-English language references.
- ☐ Set forth below are comments provided by the applicant's home country counsel on the relevancy of non-English language references:
- ☐ An English language abstract has been submitted for the Japanese language reference cited on Form PTO-1449.

- B. ☒ The information disclosure statement submitted herewith is being filed
- 1) within three months of the filing date of a national application other than a continued prosecution application under 1.53(d);
  - 2) within three months of the date of entry into the national stage as set forth in 1.491 in an international application;
  - 3) before the mailing date of a first Office Action on the merits;
  - 4) before the mailing date of a first Office Action after filing of a Request for Continued Examination under 1.114; or
  - 5) before the mailing date of a first Office Action after filing of a Continued Prosecution Application.
- C. ☐ The information disclosure statement transmitted herewith is being filed *after* the period specified in paragraph B, but before the mailing date of a final action under 1.113, a Notice of Allowance under 1.311, or an action otherwise closing prosecution, and is accompanied by:
- 1) a Statement under 1.97(e); or
  - 2) a fee of \$180 as set forth in 1.17(p).
- D. ☐ The information disclosure statement transmitted herewith is being filed *after* the period specified in paragraph C, but before payment of the issue fee, and is accompanied by:
- 1) a Statement under 1.97(e); and
  - 2) a fee of \$180 as set forth in 1.17(p).

**STATEMENT UNDER 1.97(e)**

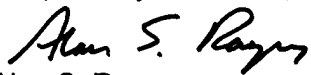
I, the person signing below, certify

- ☐ that each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the statement. 37 C.F.R. 1.97(e)(1).

☐ that no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 1.56(c) more than three months prior to the filing of the statement. 37 C.F.R. 1.97(e)(2).

■ **Please charge any insufficiency or credit any overpayment to Deposit Account No. 50-0585.** A duplicate of this letter is enclosed for fee processing.

Respectfully submitted,

  
Alan S. Raynes  
Reg. No. 39,809  
Konrad Raynes Victor & Mann, LLP  
(310) 556-7983 (tele general)  
(310) 871-8448 (tele direct)  
(310) 556-7984 (fax)

Oct. 17, 2003  
Date

Direct All Correspondence to:


Patent Counsel  
Applied Materials, Inc.  
P.O. Box 450A  
Santa Clara, CA 95052

Direct Telephone Calls to:

Alan S. Raynes  
(310) 556-7983 (general)  
(310) 871-8448 (direct)

**Certificate of Mailing**

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail on Oct. 17, 2003 and is addressed to: Mail Stop RCE, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

  
Alan S. Raynes

Oct. 17, 2003  
(Date)

FORM PTO-1449  
IREV. 7.801U.S. Department of Commerce  
PATENT AND TRADEMARK OFFICEAttorney Docket No.  
3790 USA ...  
7828.7017Serial No.  
09/464,362**INFORMATION DISCLOSURE STATEMENT**

(Use several sheets if necessary)

Applicant:  
KURITA et al.Filing Date  
12/15/99Group  
1763**U.S. PATENT DOCUMENTS**

Examiner Initial	Document Number	Date	Name	Class	Sub-Class	Filing Date if Appropriate
	5 6 1 6 2 0 8	4/1/97	Lee	156	345	
	5 1 9 9 4 8 3	4/6/93	Bahng	165	1	

**FOREIGN PATENT DOCUMENTS**

Document Number	Date	Country	Class	Sub-Class	Translation	
					Yes	No

**OTHER DOCUMENTS** (Including Author, Title, Date, Pertinent Pages, Etc.)

Examiner	Date Considered
----------	-----------------

\* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.